FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Attorney's Docket No.

2923-686

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED July 24, 2002

PCT/EP03/008011

July 22, 2003

TITLE OF INVENTION

FORMULATION OF LIPOSOMAL DERIVATIVES OF PHENYLALANINE

CONCERNING A FILING UNDER 35 U.S.C. 371

## APPLICANT(S) FOR DO/EO/US

Katja WOSIKOWSKI-BUTERS, Wolfgang SCHMALIX

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- [X] The US has been elected (Article 31). 4.
- [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [ ] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- [ ] A power of attorney and/or address letter. 16.
- [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [X] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: Published Application, PCT/IPEA/409 PCT/RO/101

U.S. APPLICATION NO (160) 10 2 10 8 0 5 10 10 10 10 10 10 10 10 10 10 10 10 10				ATTORNEY DOCKET NO. 2923-686	
21. The following fees are submitted:  Basic National Fee (37 CFR 1.492)(a)(1)-(5):				CALCULATIONS	PTO USE ONLY
a) Basic national Fe	:e		\$300.00		
b) Examination fee\$200.00					
c) Search fee			\$500.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	26 -20 =	6	X \$50.00	\$ 300	
Independent Claims	1 - 3 =	0	X \$200.00	\$0	
Multiple dependent claim(s) (if applicable) + \$360.00				\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,300	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 650	
SUBTOTAL =				\$ 650	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 650	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40	
TOTAL FEES ENCLOSED =				\$ 690	
١				Amount to be refunded	\$
				charged	\$
b. X Please charge m A duplicate cop c. X The Commissio	ny Deposit Account No ny of this sheet is enclo oner is hereby authoriz ount No. 02-2135. riate time limit under	ed to charge any addition 37 CFR 1.494 or 1.495	t of \$650 to cover the hal fees which may be has not been met, a p	required, or credit any	
SEND ALL CORRESPONDENCE TO:  Signature  Signature					
Customer No. 6449  Robert B. Murra				ıy	$\bigcup$
Robert B. Murray Rothwell, Figg, Ernst & Manbeck					
1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040  22,980 Registration Number					